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Brendy Belony

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

:Keisuke YAMAGUCHI, et al.

Filed :April 16, 2004

For :SYSTEM AND METHOD FOR...

Serial Number :10/826,876

July 18, 2006

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary regulations 37 CFR §§ 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of non-US documents (if any) listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

For documents written in a language other than English, English language abstracts and/or explanations are annexed thereto explaining relevancy in accordance with 37 CFR §1.98 (a)(3).

Attached is a Notification of Reason(s) for Refusal dated April 25, 2006, with translation, from corresponding Japanese Application 2003-113844. This notice cites five references, two of which, namely Guido Appenzeller et al. and WO 02/052784 were submitted in an IDS filed on October 4, 2004.

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Each item of information contained in this information disclosure statement was first

cited in a communication from a foreign patent office in a counterpart foreign application, not

more than three months prior to the filing of the information disclosure statement.

As this statement is being filed prior to issuance of a first Office Action, no fee is due.

However, any fee determined to be due with this statement may be charged to Deposit Account

50-1290.

The present Disclosure Statement is being submitted in compliance with 37 CFR §1.56 as

an Examiner might consider any cited document important in deciding whether to allow the

application to issue as a patent, but the citation of each document is not to be construed as an

admission that such document is necessarily relevant or prior art. No representation is intended

that the cited documents represent the results of a complete search, and it is anticipated that the

Examiner in the normal course of examination, will make an independent search and will

determine the best prior art consistent with 37 CFR §§1.104 (a) and 1.106 (b), and in the course

of such search will review for relevance every document cited on the attached form even if not

initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper, not fully covered by an enclosed check, may be charged on

Deposit Account 50-1290.

Respectfully submitted,

ian E. Hennessey

Reg. No. 51,271

**CUSTOMER NUMBER 026304** 

Docket No.: SCEP 21.113

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| 1449/PTO  | U.S. Do                  | epartment of   | rce Application            | n No                         | : 10/826,876                                       |                                     |  |  |
|---|--------------------------|--|----------------------------|------------------------------|--|-------------------------------------|--|--|
| Patent and Trademarks Office  |                          |  |                            |                              |  |                                     |  |  |
|   |                          | /0   | First Name                 | Named Inventor: K. YAMAGUCHI |  |                                     |  |  |
| INFORMATION DISCLOSURE Group Art Unit :   |                          |  |                            |                              |  |                                     |  |  |
| STATEMENT BY APPLICANT  |                          |  |                            |                              |  |                                     |  |  |
| Sheet 1 of 1  Attorney Docket No.: SCEP 21.113  |                          |  |                            |                              |  |                                     |  |  |
| V4  |                          |  |                            |                              |  |                                     |  |  |
| Examiner Cite U.S. Patent Document Kind Patentee or Applicant of Cited Date of Pages, Columns   |                          |  |                            |                              |  |                                     |  |  |
| Examiner<br>Initials  | Cite<br>No. <sup>1</sup> | U.S. Patent Document   | Code if known <sup>2</sup> |                              |  |                                     |  | Pages, Columns<br>Lines Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear |
|   |                          | ***************************************  |                            |                              |  |                                     |  |  |
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| FOREIGN PATENT DOCUMENTS  |                          |  |                            |                              |  |                                     |  |  |
| Examiner<br>Initials  | Cite<br>No. <sup>1</sup> |  |                            |                              | Name of Patentee or Applicant of<br>Cited Document |                                     | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns<br>Lines Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear |
|   |                          |  |                            | YAMAMOTO<br>NOBUYOSHI        |  | 11-08-2002                          | *,**   |  |
|   |                          | 11-205335  | JP                         |                              | IPPON TELEGR<br>ELEPH CORP <                       | ON TELEGR &<br>EPH CORP <ntt></ntt> |  | *,**   |
|   | ·                        | 2003-076714  | JP                         | U.                           | UNIQUE ID:KK                                       |                                     | 03-14-2003                                       | *,**   |
|   |                          | ,  |                            |                              |  |                                     |  |  |
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|   |                          |  |                            |                              |  |                                     |  |  |
| * Cited in the Notification of Reason(s) for Refusal dated April 25, 2006, from   |                          |  |                            |                              |  |                                     |  |  |
| corresponding Japanese Application 2003-113844  |                          |  |                            |                              |  |                                     |  |  |
|   |                          |  |                            |                              |  |                                     |  |  |
| ** English Abstract and/or explanation attached   |                          |  |                            |                              |  |                                     |  |  |
|   |                          |  |                            |                              |  |                                     |  |  |
| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS  Examiner   Cite   Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of   Applicant check |                          |  |                            |                              |  |                                     |  |  |
| Examiner<br>Initials  | Cite<br>No. <sup>1</sup> |  |                            |                              |  |                                     |  |  |
|   |                          | Notification of Reason(s) for Refusal dated April 25, 2006, from corresponding |                            |                              |  |                                     |  | Х  |
|   |                          | Japanese Application 2003-113844   |                            |                              |  |                                     |  |  |
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|   | <u> </u>                 |  |                            |                              |  |                                     |  |  |
| Examiner  |                          |  |                            |                              | Date   |                                     |  |  |
| Signature   |                          |  |                            |                              | Considered   |                                     |  | <del> </del>   |

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Unique citation designation number. See attached Kinds of U.S. Patern Documents. Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.